

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bo Pi et al.

2874 Art Unit:

Serial No.: 10/064,201

Examiner: Therese Barber

Filed

: June 20, 2002

Title : FIBER TAP MONITOR BASED ON EVANESCENT COUPLING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

Kindly accept this Information Disclosure Statement under Rule 97(c)(2). The rule 17(p) certification fee of \$180 is enclosed.

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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October 21, 2003 Date of Deposit Signature

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Respectfully submitted,

Date: October 21, 2003

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 12227-045001

Application No. 10/064,201

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Bo Pi et al.

June 20, 2002

Applicant

Group Art Unit 2874

(37 CFR §1.98(b))

U.S. Patent Documents Publication Filing Date Examiner Desig. Document Number Date Patentee Class Subclass If Appropriate Initial ID 4,021,097 05/03/77 Donald H. McMahon AA01/30/79 Yasuzi Suzaki AΒ 4,136,929 Gerhard Schiffner AC 4,259,016 03/31/81 John P. Palmer AD 4,301,543 11/17/81 Gerhard Winzer 4,302,071 11/24/81 ΑE Palmer et al. AF 4,307,933 12/29/81 02/16/82 John W. Hicks, Jr. 4,315,666 AG 03/29/83 Carl R. Swanson AH 4,378,539 ΑI 4,392,712 07/12/83 Ozeki John P. Palmer ΑJ 4,431,260 02/14/84 01/15/85 Shaw et al. ΑK 4,493,528 AL 4,536,058 08/20/85 Shaw et al. AM 4,556,279 12/03/85 Shaw et al. AN 4,564,262 01/14/86 Herbert J. Shaw AO 4,601,541 07/22/86 Shaw et al. Michael Failes AP 4,688,882 08/25/87 4,828,350 05/09/89 Kim et al. AQ AR 4,869,567 09/26/89 Millar et al. 4,896,932 01/30/90 Stephen A. Cassidy AS 4,900,118 02/13/90 Yanagawa et al. AT 01/22/91 Sorin et al. AU 4,986,624 02/12/91 Robert P. Dahlgren ΑV 4,991,922 07/09/91 AW 5,029,961 Suzuki et al. ΑX 08/27/91 Robert P. Dahlgren 5,042,896 AY 5,100,219 03/31/92 Mitsuo Takahashi 7/12/94 Kamikawa et al. ΑZ 5,329,607 **AAA** 5,444,723 08/22/95 Chandonnet et al.

Examiner	Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute ((Modified)

PTO-1449

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(37 CFR §1.98(b))

Filing Date June 20, 2002 2874

				nt Documents			
Examiner	Desig.	Document	Publication	Detector	Class	Cubalasa	Filing Date If Appropriate
Initial	ID	Number	Date _	Patentee	Class	Subclass	ii Appropriate
	ABB	5,533,155	07/02/96	Barberio et al.			
	ACC	5,586,205	12/17/96	Chen et al.			
	ADD	5,623,567	04/22/97	Barberio et al.			
	AEE	5,651,085	07/22/97	Shin-Lo Chia			
	AFF	5,729,641	03/17/98	Chandonnet et al.			
,	AGG	5,781,675	07/14/98	Tseng et al.			
	AHH	5,809,188	09/15/98	Tseng et al.			
	AII	5,841,926	11/24/98	Takeuchi et al.			
	AJJ	5,854,864	12/29/98	Knoesen et al.			
	AKK	5,892,857	-04/06/99	Kevin J. McCallion			
	ALL	5,900,983	05/04/99	Ford et al.			,
	AMM	5,903,685	05/11/99	Jones et al.			
	ANN	5,915,063	06/22/99	Colbourne et al.		*	
	AOO	5,940,556	08/17/99	Moslehi et al.			
	APP	5,963,291	10/05/99	Wu et al.			
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	ASS	6,011,881	01/04/00	Moslehi et al.			
	ATT	6,026,205	02/15/00	McCallion et al.			
	AUU	6,038,359	03/14/00	Moslehi et al.			
	AVV	6,052,220	04/18/00	Lawrence et al.			
	AWW	6,058,226	05/02/00	Dmitry Starodubov			
	AXX	6,130,984	10/10/00	Shen et al.			
	AYY	6,134,360	10/17/00	Cheng et al.			
	AZZ	6,144,793	11/07/00	Matsumoto et al.			
	AAAA	6,185,358	02/06/01	Chan-Sik Park			
	ABBB	6,490,391	12/3/02	Zhao et al.			

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	6,501,875	12/31/02	Zhao et al.			
	ADDD	6,516,114	2/4/03	Zhao et al.			
	AEEE	6,542,663	4/1/03	Zhao et al.			
	AFFF	6,549,713	4/15/03	Pi et al.			
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	AIII	6,597,833	7/22/03	Pi et al.	T		
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	AKKK	6,621,952	9/16/03	Pi et al.			
	ALLL	6,625,349	9/23/03	Zhao et al.			·

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Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AMMM	52-14430A2	02/03/77	Japan				
	ANNN	54-118255A2	09/13/79	Japan				
	A000	54-101334A2	08/09/79	Japan				
	APPP	54-4153A2	01/12/79	Japan				
	AQQQ	53-91752A2	08/11/78	Japan				
	ARRR	52-24539	02/24/77	Japan				
	ASSS	58-10701	01/21/83	Japan				
	ATTT	54-68651	01/06/79	Japan				
	AUUU	56-85702	07/13/81	Japan				
	AVVV	54-8542	01/22/79	Japan				
	AWWW	64-50003	02/27/89	Japan				
	AXXX	1-222205	09/05/89	Japan				
	AYYY	1-130106	05/23/89	Japan				
	AZZZ	4-31801	02/04/92	Japan				

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Form PTO-1449

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	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or	Class	Cubalasa		lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AAAAA	60-131503	07/13/85	Japan				
	ABBBB	1-255803	10/12/89	Japan				
	ACCCC	28 12 346A1	03/21/78	Germany				
	ADDDD	WO 87/03676	06/18/87	WIPO				
	AEEEE	0178045A1	04/16/86	EPO				
	AFFFF	2613844A1	10/14/88	France				

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
	AGGGG	McCallion et al., "Side-polished fiber provides functionality and transparency," (Abstract) Laser Focus World, vol. 34, no. 9, p. S19-20, S22, S24, PennWell Publishing, September, 1998.				
	АНННН	Das et al., "Automatic determination of the remaining cladding thickness of a single-mode fiber half-coupler," (Abstract) Optics Letters, vol. 19, no. 6, p. 384-6, March 15, 1994.				
	AIIII	Ishikawa et al., "A new optical attenuator using the thermal diffusion of W-cladding fiber," (Abstract) MOC/GRIN '97 Technical Digest of the 6 th Microoptics Conf./14 th Topical Meeting on Gradient-Index Optical Systems in Tokyo, Japan, p. (vii+432+27), 208-11, Oct. 1997.				
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	AMMMM	Leminger et al., "Determination of the variable core-to-surface spacing of single-mode fiber-coupler blocks," (Abstract) Optics Letters, vol. 12, no. 3, p. 211-13, March, 1987.				
	ANNNN	Morshnev et al., "A fiber thermo-optical attenuator," (Abstract) Source: Radiotekhnika i				
	A0000	Takahashi Mitsuo, "Variable light attenuator of improved air-gap type with extremely low returning light," (Abstract) Conf. Record - IEEE Instrumentation and Measurement Tech. Conf. 2, p. 947-950, 1994.				
	APPPP	Schmidt et al., "New design approach for a programmable optical attenuator," (Abstract) Hewlett-Packard Journal, v. 46, n. 1, p. 34-39, 1995.				
	AQQQQ	Hayata et al., "Algebraically decaying modes of dielectric planar waveguides," Optics Letters, vol. 20, no. 10, p. 1131-32, May 15, 1995.				
	ARRRR	Vengsarkar et al., "Photoinduced refractive-index changes in two-mode, elliptical-core fibers: sensing applications," Optics Letters, vol. 16, no. 19, p. 1541-43, Oct. 1, 1991.				

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Substitute Form PTO-1449 (Modified)

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Other Documents (include Author, Title, Date, and Place of Publication) Examiner Desig. Document Initial ID Pantchev et al., "Method of Refractive Index Profile Reconstruction from Effective Index of Planar Optical Monomode Waveguides: Application to Potassium Ion-Exchanged Waveguides," IEEE ASSSS Journal of Ouantum Electronics, vol. 29, no. 1, p. 154-60, January 1993. Ikeda et al., "Analysis of the Attenuation Ratio of MQW Optical Intensity Modulator for 1.55 µm Wavelength Taking Account of Electron Wave Function Leakage," IEEE Journal of Quantum ATTTT Electronics, vol. 32, no. 2, p. 284-92, February 1996. S. Masuda, "Variable attenuator for use in single-mode fiber transmission systems," Applied Optics, AUUUU vol. 19, no. 14, p. 2435-38, July 15, 1980. Huang et al., "Field-Induced Waveguides and Their Application to Modulators," IEEE Journal of AVVVV Quantum Electronics, vol. 29, no. 4, p. 1131-1143, April 1993. AWWW Iztkovich et al., "In-Situ Investigation of Coupling Between a Fibre and a Slab Waveguide," Tel Aviv University, Israel, May 29, 1990. Brierley et al., "Amplitude and phase characterization of polished directional half-couplers with **AXXXX** variable refractive index overlays," Optical Engineering, vol. 27, no. 1, p. 045-49, January 1988. Scholl et al., "In-line fiber optical attenuator and powermeter," SPIE vol. 1792 Components for AYYYY Fiber Optic Applications VII, p. 65-70, 1992. Tsujimoto et al., "Fabrication of Low-Loss 3 dB Couplers With Multimode Optical Fibres," **AZZZZ** Electronics Letters, vol. 14, no. 5, March 2, 1978.

Examiner	Signature
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